

#### IN THE UNITED STATES RECEIVING OFFICE

Applicant:

Scaringe

Examiner: To be Assigned

Serial No.:

10/635,108

Group Art Unit:

To be Assigned

Filed:

5 August 2003

For:

Short Interfering RNAs Having a Hairpin Structure Containing a Non-

Nucleotide Loop

Customer No.:

23719

Kalow & Springut LLP

488 Madison Avenue, 19th Floor New York, New York 10022

April 28, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT AND STATEMENT UNDER 37 CFR 1.97(e)

Sir:

Applicant submits herewith the following disclosures in accordance with the provisions of 37 CFR 1.97 and 1.98. Applicant states that each item of information contained in the information disclosure statement was first cited in a communication from the USPTO as Receiving Office under the Patent Cooperation Treaty (PCT) in a counterpart application not more than three months prior to the filing of this supplemental information disclosure statement. Accordingly, Applicants submit that no fee is due in connection with the filing of this supplemental information disclosure statement.

#### Certificate of Mailing Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited on the date shown below with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

(Signature)

(Printed Name of Person Signing Certificate)

28 ARILZOIST

(Date)

Applicant: Scaringe Serial No.: 10/635108 Filed: August 5, 2003

Supplemental Information Disclosure Statement

April 27, 2004 Page 2 of 3

### I. U.S. PATENT DOCUMENTS

Patent No.	Issue Date	Title
6,429,300 B1 to Kurz et al.	Aug. 6, 2002	Peptide Acceptor Ligation Methods
6,143,901 to Dervan	Nov. 7, 2000	Complex Formation Between DSDNA and Pyrrole Imidazole Polyamides
Publication No.	Pub. Date	Title
US2002/0182687 A1	Dec. 5, 2002	Peptide Acceptor Ligation Methods

### **OTHER DOCUMENT**

International Search Report dated 20 April 2004.

The items listed above are identified on Form PTO 1449, which is submitted with this statement. A copy of the International Search Report is also submitted with this statement.

Applicant: Scaringe Serial No.: 10/635108

Filed: August 5, 2003

Supplemental Information Disclosure Statement

April 27, 2004 Page 3 of 3

The attorneys for the Applicants take no position on whether or not the item cited above and listed on Form PTO 1449 constitutes prior art against the subject application under any particular provision of Title 35 of the United States Code.

Applicants submit that, under 37 CFR 1.97(e), no fee is due in connection with the filing of this supplemental information disclosure statement. If any additional fees are deemed due or any overpayment has been made, please charge, or credit, our Deposit Account no. 11-0171 for such sum.

Respectfully submitted,

Yor Smeland, Esq.

Registration No.: 43,131 Attorney for Applicant

Kalow & Springut LLP (212) 813-1600

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

er the Paperwork Reduction Act of 1995, no persons are required to re-

Substitute for form 1449/PTO

Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/635,108			
Filing Date	05 August 2003			
First Named Inventor	Scaringe			
Art Unit	To be Assigned			
Examiner Name	To be Assigned			
Attorney Docket Number	13561			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<u>.,, .</u>	1	<sup>US-</sup> 6,429,300 B1	Aug. 6, 2002	Kurz et al.	
	2	<sup>US-</sup> 6,143,901	Nov. 7, 2000	Kurz et al	
	3	<sup>US-</sup> 2002/0182687 A1	Dec. 5, 2002	Dervan	
		US-			_
		US-			
		US-	l.		
		US-			
		US-			
	<u> </u>	US-		1	
		US-			
	<b>†</b>	US-			

Cite	Foreign Patent Document  Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	Publication	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T
140.		MM-DD-YYYY			י
					L
					۲
					Γ
	No.'		MM-DD-YYYY	MM-DD-YYYY	No.¹ Date Applicant of Cited Document Where Relevant Passages  MM-DD-YYYY  Applicant of Cited Document Or Relevant Figures Appear

Examiner	Date	
Signature	Consider	d
		l .

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (08-03) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ler the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				Complete if Known		
				Application Number	10/635,108	
INFO	ORMATION	DIS	CLOSURE	Filing Date	05 August 2003	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Scaringe	
<b>(1)</b>				Art Unit	To be Assigned	
(Use as many sheets as necessary)			ecessary)	Examiner Name	To be Assigned	
Sheet	2	of	2	Attorney Docket Number	13561PCTUS	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	International Search Report dated 20 April 2004	
	_		
Examiner Signature		Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.